

# Hollow Core–Shell Mesospheres of Crystalline SnO<sub>2</sub> Nanoparticle Aggregates for High Capacity Li<sup>+</sup> Ion Storage

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Crystalline SnO<sub>2</sub> nanoparticles were successfully assembled into a higher-order nanostructure of hollow core–shell mesospheres by a simple and environmentally benign procedure consisting of solvothermal synthesis and postsynthesis calcination. Carbon mesospheres laden with crystalline SnO<sub>2</sub> nanoparticles were formed, using a suitably formulated water–ethanol mixture, as the sole product of solvothermal synthesis from tin and carbon precursors. Subsequent calcination compressed the SnO<sub>2</sub> nanoparticles into stable hollow core–shell mesospheres. The carbon in the mesospheres played the constructive role of templating the final product morphology during the carbon removal process. This method of preparation is simple, low cost, and could be conveniently scaled up for volume production. This unique SnO<sub>2</sub> nanostructure could store an exceedingly large amount of Li<sup>+</sup>, and it cycled well as a phase-pure SnO<sub>2</sub> anode.

## Introduction

Wide band semiconducting SnO<sub>2</sub> is used in a diverse range of applications.<sup>1–13,24</sup> It is believed that some applications may actually benefit from a properly designed SnO<sub>2</sub> nanostructure. While SnO<sub>2</sub> can now be synthesized as nanowires,<sup>10</sup> nanorods,<sup>11</sup> nanotubes,<sup>12</sup> nanobelts,<sup>13</sup> and nanooctahedra;<sup>3</sup> the organized assembly of low-dimensional nanounits (e.g., SnO<sub>2</sub> nanoparticles) into higher-order structures (e.g., hollow core–shell particles) where the integrated geometry may lead to functional improvements, remains a challenge. For example, SnO<sub>2</sub> would be a good substitute for the carbon anode in lithium-ion batteries if its high Li<sup>+</sup> storage capacity (782 vs 372 mAh/g for graphite) is not undermined by limited cyclability. Nanostructured SnO<sub>2</sub> has been suggested as a possible solution to this application problem.<sup>1,2,22–24</sup> It will be intellectually stimulating and technologically important to determine whether a higher-order design of the

nanostructure could lead to improvements in application performance, while keeping the method of preparation relatively simple and scalable.

Template-assisted synthesis and solvothermal synthesis are currently the two most common methods of preparation of hollow nanostructures.<sup>1–4,14–18</sup> Template-assisted synthesis relies on the template to sculpt the product morphology. It offers ease of morphology control through template selection. A number of removable templates can be used to generate simple hollow nanostructures.<sup>1,4,14–17</sup> However, template-assisted synthesis is not without problems: difficulty in template fabrication, the possibility of product deconstruction during the template removal process, the shortage of templates for generating hollow structures with complex interiors, and multistep and costly operations. By comparison, solvothermal synthesis has notable advantages such as simple and straightforward operations and low-cost, scaleable production. It has been successfully used in the preparation of hollow spheres,<sup>2</sup> hollow octahedra,<sup>3</sup> and hollow boxes.<sup>18</sup> The morphology of nanostructures obtained by solvothermal methods is strongly dependent on the solvents used, the ions involved, acidity, and other environmental factors.<sup>2,3,18</sup> Quite unlike template-assisted synthesis, there is no good method to predict the product morphology a priori in a solvothermal synthesis.

A procedure that combined template-assisted and solvothermal syntheses was used here to form hollow core–shell mesospheres of crystalline SnO<sub>2</sub> nanoparticle aggregates for lithium ion battery applications. The procedure was devel-

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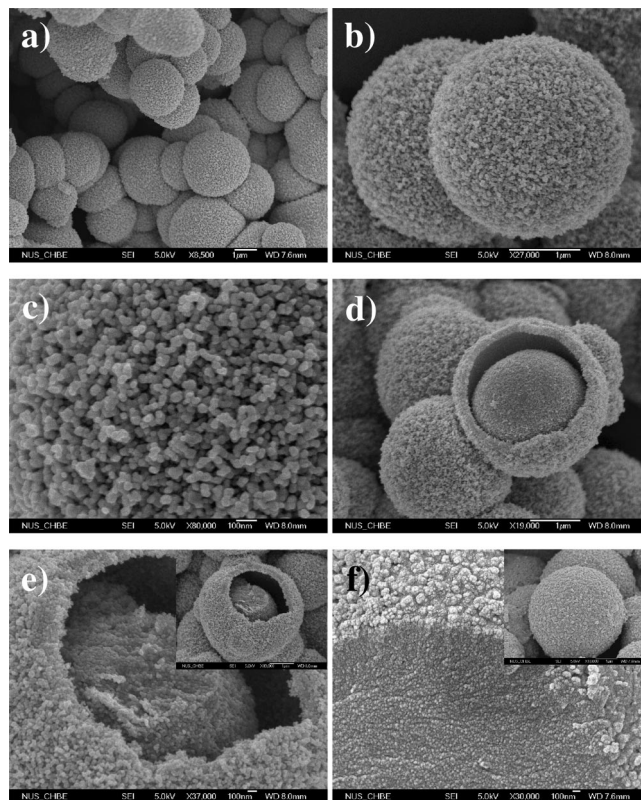
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oped based on the following understandings: It is known that carbonization of carbohydrates (e.g., glucose and sucrose) occurs under solvothermal conditions at temperatures above 160 °C.<sup>5,6</sup> On the other hand, hydrolysis of tin(IV) chloride ( $\text{SnCl}_4$ ) under solvothermal conditions could lead to  $\text{SnO}_2$  nanocrystals.<sup>11</sup> These properties of glucose and tin(IV) chloride were utilized in this study to enable first the in situ formation of mesospheres of carbon– $\text{SnO}_2$  nanocomposites. Carbon in the nanocomposites was then removed by calcination, leaving behind hollow core–shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates. In this method, the in situ formed carbon-dominant mesospheres guided the organization of the final product morphology during the template removal process (calcination). Such a constructive role contrasts strongly with the destructive effect of template removal on product morphology common in other template-assisted methods. This preparation method is also environmentally benign and could be scaled up easily for volume production. When used as the anode material in lithium ion test batteries, the hollow core–shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates demonstrated very high  $\text{Li}^+$  storage capacities and improved electrochemical characteristics, which could all be attributed to their unique nanoarchitecture.

## Experimental Section

**Materials Synthesis and Characterization.** All chemicals were used as received. In a typical experiment, 10 mmol of D-glucose monohydrate and 4 mmol of  $\text{SnCl}_4$  were dissolved in a mixture of distilled water (5 mL) and ethanol (30 mL) to form a transparent colorless solution. The solution was transferred to a Teflon-lined autoclave. The autoclave was put in an electric oven and kept at 180 °C for 24 h before it was cooled in air. The sediment, which was black, was collected and washed with water and ethanol several times, before it was dried in a vacuum oven at 50 °C for a few hours. The sediment was then calcined at 550 °C for 4–5 h in air. The black sediment turned white indicating the successful removal of carbon by oxidation in air. The samples were characterized by field-emission scanning electron microscopy and scanning transmission electron microscopy (FESEM/STEM) on a JEOL JSM-6700F operating at 5 kV, by scanning electron microscopy and energy-dispersive X-ray spectroscopy (SEM/EDX) on a JEOL JSM-840 operating at 15 kV, by transmission electron microscopy and selected area electron diffraction (TEM/SAED) on a JEOL JEM-2010F operating at 200 kV, by powder X-ray diffraction (XRD) on a Shimadzu XRD-6000 using  $\text{Cu K}\alpha$  radiation, and by X-ray photoemission spectroscopy on a KRATOS AXIS Hsi with  $\text{Al K}\alpha$  radiation.

**Electrochemical Measurements.** The hollow core–shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates (80 wt %), conducting additive (10 wt %, Super-P carbon black, Timcal), and polyvinylidene fluoride (10 wt %, PVDF) binder in *N*-methylpyrrolidone (NMP) were mixed into a homogeneous slurry. The slurry was then applied to a copper disk current collector and dried in vacuum at 120 °C. Electrochemical test cells were assembled in an argon-filled glovebox using the coated copper disk as the working electrode, lithium metal foil as the counter/reference electrode, and 1 M solution of  $\text{LiPF}_6$  in a 50:50 w/w mixture of ethylene carbonate (EC) and diethyl carbonate (DEC) as the electrolyte. The cells were charged and discharged galvanostatically at the rates of 50 or 100 mA/g in the fixed voltage window from 5 mV to 2 V on a Maccor series 2000 battery tester at room temperature.

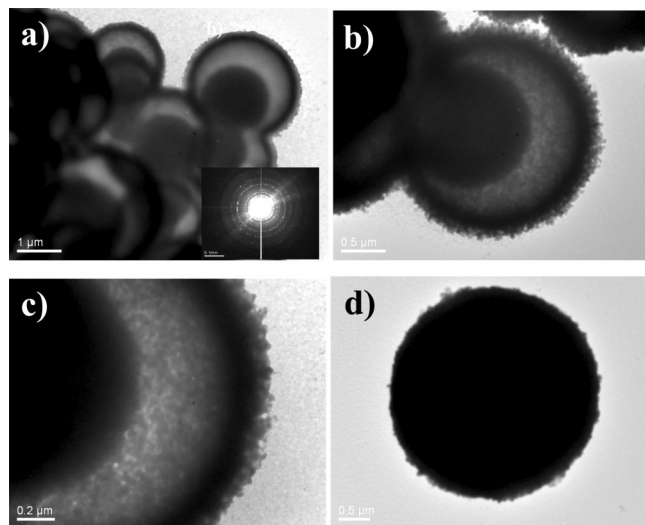


**Figure 1.** FESEM images: (a–b) hollow core–shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates at different magnifications, (c) zoomed-in view of the surface of a  $\text{SnO}_2$  mesosphere showing aggregates of  $\text{SnO}_2$  nanoparticles, (d) a  $\text{SnO}_2$  mesosphere with broken shell revealing the hollow core–shell structure, (e) zoomed-in view of another partially broken mesosphere showing that both the core and shell were made up of nanoparticle aggregates (the inset is the corresponding low-magnification view), and (f) zoomed-in and zoomed-out (inset) views of the solvothermal product of carbon mesospheres loaded with  $\text{SnO}_2$  nanoparticles before calcination.

## Results and Discussion

The FESEM images of the hollow core–shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates are shown in Figure 1a–e at different magnifications. It is clear from Figure 1a that the mesospheres were 1–3  $\mu\text{m}$  in overall dimension. The high magnification FESEM image of Figure 1b shows that the surface of the mesospheres was formed entirely by aggregated small ( $\sim 11$  nm) primary  $\text{SnO}_2$  nanoparticles. The zoomed-in view of the surface (Figure 1c) confirms this. The most unique feature of these  $\text{SnO}_2$  mesospheres was their hollow core–shell structure, which was revealed most vividly by a mesosphere with partially broken shell (Figure 1d). The shell was estimated to be  $\sim 200$  nm in thickness. Another core–shell  $\text{SnO}_2$  mesosphere with a ruptured shell is shown in Figure 1e. In addition to the shown annular space associated with the interior cavity, the core was found to be made up of the same aggregated  $\text{SnO}_2$  nanoparticles as those found in the shell.

The interesting nanostructure of hollow core–shell mesospheres was further corroborated by transmission electron microscopy (TEM). The low-magnification TEM image in Figure 2a shows several core–shell mesospheres with clearly visible hollow interiors. The high-magnification TEM images, b and c of Figure 2, show an isolated  $\text{SnO}_2$  mesosphere at different magnifications. The dark solid core of the

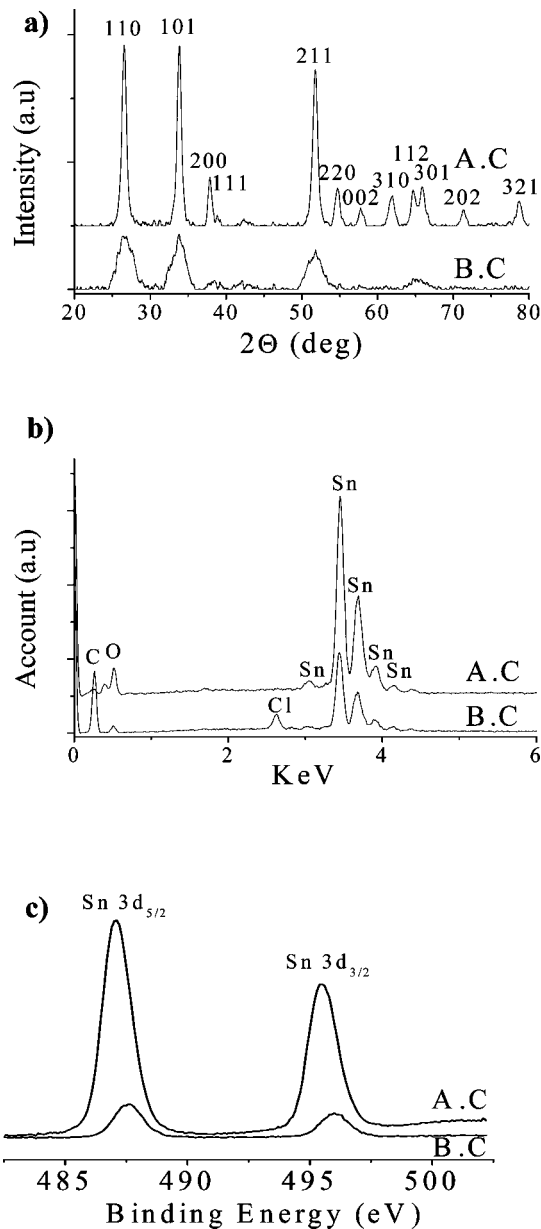


**Figure 2.** (a, b, c) TEM images of hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates at different magnifications. The cores were all solid. The inset in a shows the selected area diffraction pattern (SAED) of a  $\text{SnO}_2$  mesosphere, and d shows a TEM image of the solvothermal reaction product.

nanoparticle aggregate contrasts strongly with the visibly lighter region in the microvoid region between the core and the shell. The measured shell thickness of  $\sim 200$  nm is consistent with the FESEM determination. The selected area diffraction pattern (SAED) of the  $\text{SnO}_2$  mesospheres in the inset of Figure 2a indicates that the  $\text{SnO}_2$  nanoparticles were crystalline, and all diffraction rings could be indexed to  $\text{SnO}_2$  nanocrystals with hexagonal symmetry.

The crystallinity of the hollow core-shell mesospheres of  $\text{SnO}_2$  nanoparticle aggregates was independently confirmed by X-ray diffraction (XRD). All the peaks in the XRD pattern (A.C) in Figure 3a could be indexed to crystalline  $\text{SnO}_2$  by comparison with JCPDS card No. 41-1445, indicating good phase purity and consistency with the SAED measurement (inset in Figure 2a). The broad diffraction peaks indicate that the crystalline  $\text{SnO}_2$  nanoparticles were small in size. If the  $\{110\}$  diffraction was used in the Scherrer equation ( $D_v = K\lambda/(\beta \cos \theta)$ , where  $K = 0.9$ ,  $\beta$  is the half-width of full maximum of the  $\{110\}$  peak, and  $\lambda = 0.154$  nm), the size of the primary  $\text{SnO}_2$  nanoparticles in the hollow core-shell mesospheres was determined to be  $\sim 11$  nm, which is in good agreement with the measurement based on FESEM/TEM images. Elemental analysis by energy-dispersive X-ray spectroscopy (EDX) revealed the presence of only Sn and O (spectrum (A.C) in Figure 3b) in  $\sim 1:2$  mole ratio, thereby confirming the sole presence of  $\text{SnO}_2$ . The hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates were further characterized by X-ray photoemission spectroscopy (XPS). The XPS spectrum for the Sn 3d levels is shown as curve A.C in Figure 3c. The two peaks at  $\sim 487.3$  and  $\sim 495.6$  eV could be assigned to Sn 3d<sub>5/2</sub> and 3d<sub>3/2</sub>, respectively. The XPS data further proved the phase purity of the hollow core-shell mesospheres and that tin was in the Sn(IV) state.

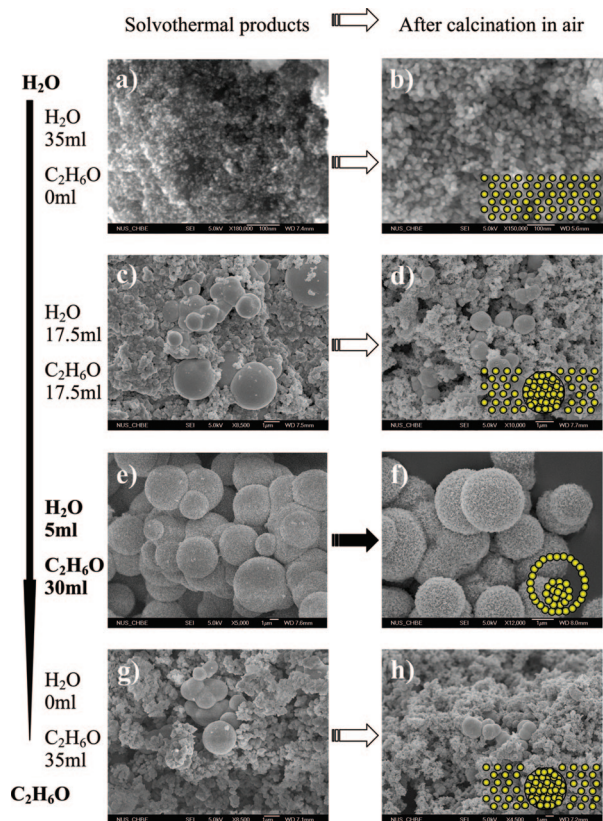
To understand how the hollow core-shell mesospheres were formed, the black sediment after solvothermal synthesis before calcination (B.C) was collected and compared with the final product formed after calcination (A.C). The inset



**Figure 3.** (a) XRD patterns, (b) EDX patterns, and (c) Sn 3d XPS spectra of the  $\text{SnO}_2$  nanoparticle loaded carbon mesospheres prepared from the solvothermal reaction (B.C = before calcination) and hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates formed upon calcination (A.C = after calcination).

in Figure 1f shows the solvothermal product as carbon mesospheres with interspersed  $\text{SnO}_2$  nanoparticles. The zoomed-in view of a broken carbon mesosphere (Figure 1f) indicates the clear presence of  $\text{SnO}_2$  nanoparticles (white spots) on the carbon mesosphere external surface and throughout the ruptured cross-section of the mesosphere. Figure 2d shows a completely dark particle with no contrast difference between the surface and the particle interior, suggesting that the particle was entirely solid. The XRD pattern (B.C) in Figure 3a confirms the presence of  $\text{SnO}_2$  nanocrystals. A nanocrystal size of  $\sim 3.5$  nm was calculated based on the Scherrer equation using the  $\{110\}$  diffraction. The increase in the  $\text{SnO}_2$  nanoparticle size after calcination could be the result of annealing, aggregation, and sintering of small particles ( $\sim 3.5$  nm) to form larger ( $\sim 11$  nm), more crystalline particles (see the sharper and narrower diffraction





**Figure 4.** FESEM images of solvothermal products before (left panel) and after (right panel) calcination. The amount of ethanol used in the synthesis increased from a to g. It can be seen that the final product morphology was determined by the morphology of the solvothermal product.

peaks in pattern (A.C) of Figure 3a). The absence of carbon diffraction in pattern (B.C) indicates that the carbon spheres were amorphous.<sup>5</sup> As expected, the EDX pattern (B.C) of the sediment in Figure 3b detected the presence of carbon, in addition to tin, oxygen, and a trace amount of chlorine. The loss of carbon signal in the EDX pattern (A.C) in Figure 3b indicates that carbon was completely removed by oxidation in air. The XPS Sn 3d level spectrum (B.C.) in Figure 3c indicates that tin was in the  $\text{Sn}^{4+}$  oxidation state after solvothermal reaction. Thus it may be concluded that the distribution of  $\text{SnO}_2$  nanoparticles in the carbon mesosphere was uniform throughout.

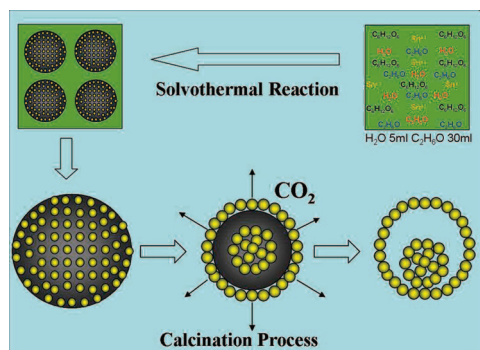
A series of experiments (summarized in Table 1 and Figure 1 in Supporting Information) was used to determine the key factors in solvothermal synthesis that led to the formation of the hollow core-shell product of  $\text{SnO}_2$  nanoparticle aggregates. It was found that in water-rich solvent systems (ethanol/water = 0:35 or 5:30 mL), only  $\text{SnO}_2$  nanoparticles surrounded by amorphous carbon were observed by the solvothermal reaction (Figure 4a), and subsequent calcination treatment led to crystalline  $\text{SnO}_2$  nanoparticles only (Figure 4b). When the ethanol content in the mixed solvent was increased to ethanol/water = 17.5:17.5 mL, both  $\text{SnO}_2$  nanoparticles surrounded by amorphous carbon and  $\text{SnO}_2$  nanoparticle-loaded carbon mesospheres were formed (Figure 4c). Subsequent calcination led to a mixture of  $\text{SnO}_2$  nanoparticles and mesospheres of  $\text{SnO}_2$  nanoparticle aggregates (Figure 4d). Nearly identical results were obtained in an entirely ethanol system (ethanol/water

= 35:0 mL) (Figure 4g and h). The  $\text{SnO}_2$  mesospheres in these cases were all solid nanoparticle aggregates. The discovery of all-solid mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates actually attested to the uniformity of dispersion of  $\text{SnO}_2$  nanoparticles in the carbon mesospheres. The lack of a hollow interior in these cases could be caused by the excess presence or oversupply of  $\text{SnO}_2$  nanoparticles in the mesospheres, which was witnessed by the presence of a large number of discrete  $\text{SnO}_2$  nanoparticles in the proximity of the  $\text{SnO}_2$  mesospheres. The hollow core-shell mesospheres of  $\text{SnO}_2$  nanoparticle aggregates could only be produced from a solvent mixture of ethanol/water = 30:5 mL (Figure 4e–f). These experimental results demonstrated that (1) ethanol could have affected the polarity of the solvent system and hence the solubility of reactants and intermediates in solvothermal synthesis and that (2) the product morphology mirrored closely the morphology of the solvothermal product formed before calcination and was little affected by the calcination process. In other words, the carbon product from the carbonization of glucose (mostly amorphous carbon) templated the formation of crystalline  $\text{SnO}_2$ -only nanoparticle aggregates upon calcination.

Under solvothermal conditions, the two reactions (hydrolysis of  $\text{SnCl}_4$  and carbonization of glucose) occurred simultaneously. Since glucose was used in large excess, the hydrolysis and ethanolsis of  $\text{SnCl}_4$  occurred within the microenvironment of glucose dehydration and polymerization (carbonization), and the  $\text{SnO}_2$  nanoparticles formed thereupon served as the nucleation sites for amorphous carbon deposition. The amorphous carbon surface was hydrophilic (because of the presence of  $-\text{OH}$  or  $=\text{C}=\text{O}$  groups), and the aggregation of the carbon coated  $\text{SnO}_2$  nanoparticles was strongly dependent on the solvent properties, in particular the water/ethanol ratio. Under the condition of high water content, the carbon-coated  $\text{SnO}_2$  nanoparticles were well solubilized, and aggregation was limited (Figure 4a). The interparticle aggregation increased with the ethanol content (Figure 4c), and under the right mix of ethanol and water, the particles aggregated into mesospheres exclusively (Figure 4e). At high ethanol content, where glucose was not fully solubilized, while  $\text{SnCl}_4$  was completely dissolved, the local dehydration and carbonization of glucose and  $\text{SnCl}_4$  hydrolysis could not occur uniformly throughout, and a heterogeneous product consisting of carbon mesospheres loaded with  $\text{SnO}_2$  nanoparticles, and carbon coated  $\text{SnO}_2$  nanoparticles was formed (Figure 4g).

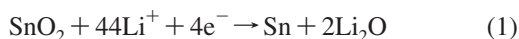
On the basis of the experimental evidence, the schematic illustration in Scheme 1 was proposed to rationalize the changes in material constitution and morphology during solvothermal synthesis and postsynthesis calcination. Under solvothermal conditions with a solvent with the right polarity and solubilization power (obtained by adjustment of the amounts of ethanol and water used), only carbon mesospheres loaded with  $\text{SnO}_2$  nanoparticles were formed in the solvothermal reaction. Upon calcination in air, the carbon in the mesospheres was oxidized to  $\text{CO}_2$ , and the  $\text{SnO}_2$  nanoparticles annealed to higher crystallinity. The outward diffusion of  $\text{CO}_2$  generated a force to compress the  $\text{SnO}_2$  nanoparticles in the surface region into a shell.<sup>1</sup> The shell

### Scheme 1. Schematic Illustration of the Compositional and Morphological Evolutions in Solvothermal Synthesis and Postsynthesis Calcination in Air

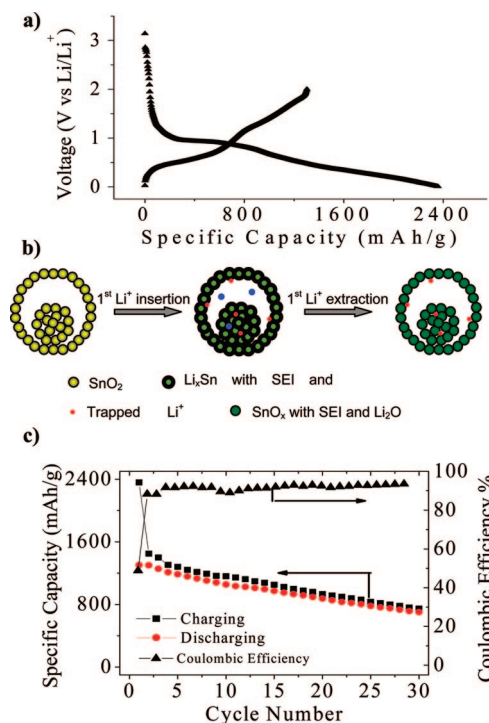


continued to anneal in the process and formed a thermally stable structure. The mesoporous shell would still allow carbon dioxide effusion from the regions below the shell, but probably at a slower rate. A stable core was eventually formed by the compaction and annealing of  $\text{SnO}_2$  nanoparticles in the mesosphere core region because of the shrinking carbon core. Collectively this had led to the formation of hollow core-shell mesospheres consisting entirely of aggregates of crystalline  $\text{SnO}_2$  nanoparticles. The amorphous carbon-dominant mesospheres first formed as the solvothermal product were instrumental in crafting the final product geometry. While we have additional experimental evidence supporting the product morphology development according to this phenomenological model (Supporting Information), more work is still needed to validate the individual steps.

The hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates were evaluated as a potential anode material for the lithium ion batteries. In theory,  $\text{SnO}_2$  could store close to three times the amount of lithium ions in conventional graphite anodes because of the ability of Sn to alloy with lithium to a stoichiometry of  $\text{Li}_{4.4}\text{Sn}$



The experimental values for the first cycle charge ( $\text{Li}^+$  insertion) and discharge ( $\text{Li}^+$  extraction) capacities were very high, at 2358 and 1303 mAh/g, respectively, when measured at the current density of 50 mA/g (Figure 5a). The exceptionally high first-cycle charge capacity could be attributed to solid-electrolyte interphase (SEI) formation and the reduction of  $\text{SnO}_2$  to Sn (reaction 1).<sup>1,2,19,20,24</sup> In addition the micropores in the mesosphere core and shell were also a facility for  $\text{Li}^+$  storage.<sup>19</sup> The  $\text{Li}^+$  stored in these locations were, however, more difficult to extract, accounting for the apparently large disparity between charge and discharge



**Figure 5.** (a) First cycle charging/discharging profiles. (b) Schematic showing the processes occurring in the first cycle of  $\text{Li}^+$  insertion and extraction. (c) Specific capacity vs cycle number plots of electrodes prepared from the hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates. Test conditions: current density = 50 mA/g, voltage window = 5 mV–2 V.

capacities (Figure 5b). The quasi-reversible reaction between  $\text{Li}_2\text{O}$  and Sn to reform  $\text{SnO}_2$  could be another likely source for the high discharge capacity.<sup>20</sup> Both the core and shell components of the mesospheres are expected to contribute to the high capacities because the core was electrochemically accessible through physical contact with the shell. This was especially so after conductivity was increased by converting semiconducting  $\text{SnO}_2$  into metallic Sn and the alloying of Sn with Li to form  $\text{Li}_x\text{Sn}$  alloys. Figures 5c shows the cycling performance of the  $\text{SnO}_2$  mesospheres. Even at the rate of 100 mA/g, the specific charge and discharge capacities at the end of 30 cycles were still comparable to the theoretical capacity of  $\text{SnO}_2$  (Figure 2 of SI). Examination of the electrode after 76 cycles indicated at least partial retention of the mesosphere morphology, although most of the hollow core-shell  $\text{SnO}_2$  mesospheres had collapsed with noticeable aggregation of the Sn/ $\text{SnO}_2$  nanoparticles (Figure 3 of SI). Since capacity fading was still prominent in these hollow core-shell mesospheres of crystalline  $\text{SnO}_2$  nanoparticle aggregates, the current morphological modification has rectified some, but not all, of the  $\text{SnO}_2$  deficiencies in applications.

### Conclusion

In summary, crystalline  $\text{SnO}_2$  nanoparticles were successfully assembled into a high-order nanostructure of hollow core-shell mesospheres by a simple and environmentally benign procedure consisting of solvothermal synthesis and postsynthesis calcination. Carbon mesospheres laden with crystalline  $\text{SnO}_2$  nanoparticles were formed, using a suitably formulated water/ethanol mixture, as the sole product of solvothermal synthesis from tin

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and carbon precursors. Subsequent calcination compressed the SnO<sub>2</sub> nanoparticles into hollow core-shell mesospheres. The carbon in the mesospheres played the constructive role of templating the final product morphology during the carbon removal process. This unique SnO<sub>2</sub> nanostructure could store an exceedingly large amount of Li<sup>+</sup>, and cycled well for a phase-pure SnO<sub>2</sub> anode.

**Supporting Information Available:** Table of other experimental conditions and the corresponding scheme of results, testing results at current rate of 100 mA/g, and morphology after more than 76 cycles of charge and discharge. This material is available free of charge via the Internet at <http://acs.org>.

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